

## **American Community Survey: What it is and How it's Used**

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#### **Outline**

- Federal surveys to monitor health insurance coverage and access
- Introduction to the ACS
  - Methodology
  - Type of data collected
  - Data products and release schedules
- Obtaining the data
  - Public Use Microdata Sample (PUMS) files
  - Integrated Public Use Microdata Series (IPUMS)
  - Tables of pre-tabulated estimates (multiple sources)

# FEDERAL SURVEYS TO MONITOR HEALTH INSURANCE COVERAGE AND ACCESS

## Research Needs for Monitoring Health Insurance Coverage

- Consistent estimates
- Trends over time
  - Monitor impacts of health reform
- Comparisons across states
- Subpopulation analysis
  - Race/ethnicity, poverty, age
  - Counties/sub-state areas
- Access to microdata

### Key Federal Survey Data Sources

- General household survey
  - ACS: American Community Survey
- Employment/Income survey
  - CPS: Current Population Survey
- Health surveys
  - NHIS: National Health Interview Survey
  - MEPS-HC: Medical Expenditure Panel Survey-Household Component
  - BRFSS: Behavioral Risk Factor Surveillance System



## Current Population Survey (CPS)

#### Good

- Historic trends
- State-level estimates
- Several control variables available
- State-specific public health insurance program names
- Timely data release





#### **Bad**

- Low sample in smaller states
- 10% of respondents have entire supplement imputed

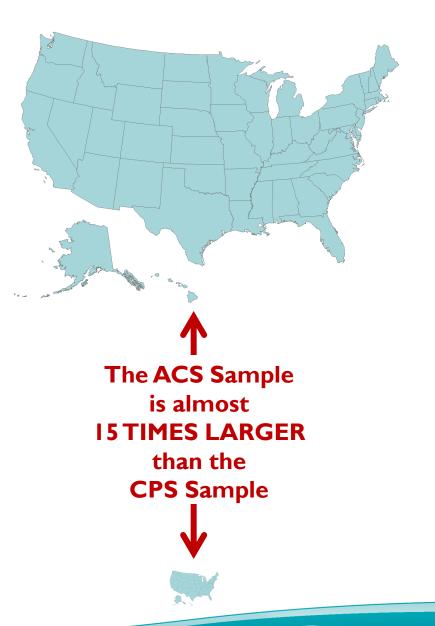
#### **Ugly**

 Concerns about the coverage questions



## American Community Survey (ACS)

- SAMPLE SIZE!
- Sub-state estimates
- Robust subpopulation analysis
- Representativeness
- Current health insurance coverage measured



### Feasibility of State-Level Health Insurance Estimates from Federal Surveys

	ACS	SIPP	CPS	NHIS	MEPS HC	BRFSS
State-level estimates possible?						
All states	✓		<b>√</b> *			✓
Some states		~20		~20	~35	
State identifiers available on public use files?	✓	✓	✓			✓
Sample size by state						
High	353K	9K	20K	13K	5K	20K
Median	44K	IK	3K	IK	400	7K
Low	6K	160	2K	110	<100	2K

<sup>\*</sup> Two-year averages recommended.

## Availability of Health Outcome Measures in Federal Surveys

	ACS	CPS	SIPP	NHIS	MEPS HC	BRFSS
Health insurance coverage						
Point in time	✓		✓	✓	✓	✓
Prior calendar year		✓	✓	✓	✓	
Affordability of coverage/ comprehensiveness of coverage			✓	✓	✓	
Access to care/barriers to care			✓	✓	✓	✓
Health care use				✓	✓	✓
Affordability of care		✓		✓	✓	
Quality of care						

#### INTRODUCTION TO THE ACS

### American Community Survey (ACS)

- General household survey conducted by the U.S.
   Census Bureau
  - Mandatory survey
- Replacement for the "long form" of the decennial census
  - Collects detailed economic, social, demographic, and housing information annually instead of once every ten years
  - Provides communities with up-to-date information on key demographics and policy relevant data



## Decennial Census now limited to 10 questions

- # of people
- Home owned/rented
- Sex
- Age
- Race and Hispanic origin

#### Census 2010

#### This is the official form for all the people at this address. It is quick and easy, and your answers are protected by law.

DEPARTMENT OF COMMERCE Scoromics and Statistics Administration U.S. CENSUS BUREAU

		_
se a blue or black pen.	1	<ol><li>Please provide information for each person living here. Start with a person living here who owns or rents this house, apartment, or mobil</li></ol>
Start here	L	home. If the owner or renter lives somewhere else, start with any adu
Start Horo	L	living here. This will be Person 1.  What is Person 1's name? Print name below.
	н	What is Felson 1's hame? Fillit hame below.
e Census must count every person living in the United ates on April 1, 2010.	l	Last Name
fore you answer Question 1, count the people living in is house, apartment, or mobile home using our guidelines.	L	First Name MI
Count all people, including babies, who live and sleep here most of the time.	,	What is Person 1's sex? Mark ✗ ONE box.      Male □ Female
e Census Bureau also conducts counts in institutions d other places, so:	7	<ol> <li>What is Person 1's age and what is Person 1's date of birth?</li> <li>Please report babies as age 0 when the child is less than 1 year old.</li> </ol>
Do not count anyone living away either at college or in the Armed Forces.	ı	Age on April 1, 2010 Print numbers in boxes.  (Month) Day Year of birth
Do not count anyone in a nursing home, jail, prison, detention facility, etc., on April 1, 2010.	ı	
Leave these people off your form, even if they will return to	Г	<ul> <li>NOTE: Please answer BOTH Question 8 about Hispanic origin and Question 9 about race. For this census, Hispanic origins are not race</li> </ul>
live here after they leave college, the nursing home, the military, jail, etc. Otherwise, they may be counted twice.	\$	3. Is Person 1 of Hispanic, Latino, or Spanish origin?
e Census must also include people without a permanent	L	No, not of Hispanic, Latino, or Spanish origin
ace to stay, so:	L	Yes, Mexican, Mexican Am., Chicano Yes, Puerto Rican
If someone who has no permanent place to stay is staying	17	Yes, Cuban
here on April 1, 2010, count that person. Otherwise, he or she may be missed in the census.	4/	Yes, another Hispanic, Latino, or Spanish origin Print origin, for examp
How many people were living or staying in this house,	1	Argentinean, Colombian, Dominican, Nicaraguan, Salvadoran, Spaniard, and so on. 🔀
apartment, or mobile home on April 1, 2010?	Ϋ.	
Number of people =	į	9. What is Person 1's race? Mark 🗷 one or more boxes.
Were there any additional people staying here	L	White
April 1, 2010 that you did not include in Question 1?  Mark X all that apply.	L	<ul> <li>□ Black, African Am., or Negro</li> <li>□ American Indian or Alaska Native Print name of enrolled or principal tribe.</li> </ul>
Children, such as newborn babies or foster children	L	
Relatives, such as adult children, cousins, or in-laws	L	
Nonrelatives, such as roommates or live-in baby sitters	н	Asian Indian 🔲 Japanese 🔲 Native Hawaiian
People staying here temporarily	L	Chinese Korean Guamanian or Chamorro
No additional people Is this house, apartment, or mobile home	н	☐ Filipino ☐ Vietnamese ☐ Samoan ☐ Other Asian Print race, for ☐ Other Pacific Islander Pr
Mark X ONE box.	н	example, Hmong, Laotian, Thai, race, for example, Fijian, Tongan
Owned by you or someone in this household with a mortgage or loan? Include home equity loans.	ı	Pakistani, Cambodian, and so on. 📈 and so on. 📈
Owned by you or someone in this household free and clear (without a mortgage or loan)?	L	
Rented?	L	☐ Some other race Print race. 🔀
Occupied without payment of rent?	L	
What is your telephone number? We may call if we	10	Does Person 1 sometimes live or stay somewhere else?
don't understand an answer. Area Code + Number	.,	□ No □ Yes Mark X all that apply.
Area Code + Notitibel		☐ In college housing ☐ For child custody
		☐ In the military ☐ In jail or prison
MB No. 0607-0919-C: Approval Expires 12/31/2011.		At a seasonal In a nursing home or second residence
orm D-61 (9-25-2006)	-	or second residence For another reason  If more people were counted in Question 1, continue with Person 2.

### Methodology

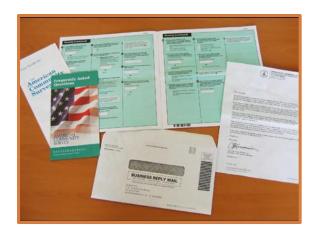
- Data collected continuously with monthly samples
- Collects sample in all counties or county equivalents in the U.S. every year
- About 3.5 million addresses sampled each year, collecting responses from over 2 million

### Methodology (2)

- Surveys the entire population including:
  - Housing units
  - Institutional group quarters
    - For example nursing homes & prisons
  - Non-institutional group quarters
    - For example college dorms & military barracks

### Methodology (3)

- 4 modes of data collection:
  - Mail
  - Internet option added in 2013
  - Phone for non-response follow-up
  - In-person sub-sample for non-response follow-up







#### **Data Collected**

- Age, sex, race, Hispanic origin
- Housing
- Ancestry, citizenship, language spoken at home
- Education, occupation, travel to work
- Marital status, fertility
- Income, poverty, food stamp participation
- Disability, health insurance coverage
- Veteran status

For a complete list of topics click <u>here</u>

## DATA PRODUCTS AND RELEASE SCHEDULE

#### **Data Products**

- 1-year estimates (12 months)
- Multiple years pooled together to produce reliable estimates for areas and subgroups with smaller population
  - 3-year estimates (36 months)
  - 5-year estimates (60 months)

#### Years Available

- Testing began in 1999
- Fully operational in 2005
- Group quarters added in 2006
- Health insurance coverage added in 2008
  - First 5-year file (2008-2012) with health insurance coverage will be available in winter 2013

#### Annual Release Schedule

- 1-year estimates released in September
  - For example 2011 estimates were released
     September 2012
- 3-year estimates released in October
- 5-year estimates released in December

 The public use files are released about 1-2 months after the pre-tabulated estimates

#### **Annual Release Webinar**

- SHADAC hosts an annual data release webinar with Census Bureau experts in September
  - Discuss new ACS and CPS health insurance coverage estimates and any changes to the survey questions or methodology



For an archive of past webinars click here

#### **OBTAINING THE DATA**

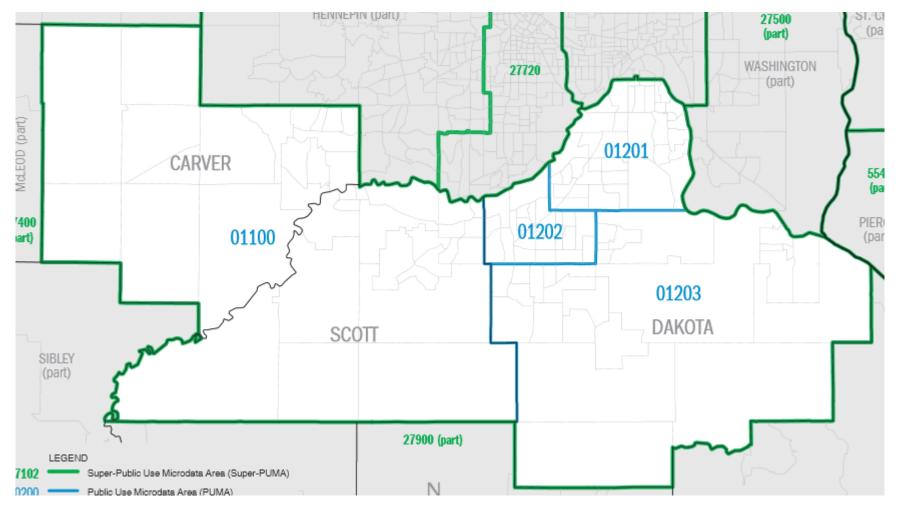
### Obtaining the Data

- Data access point will have implications for analytic options
- Three common ways to get data
  - 1. Public Use Microdata Sample (PUMS) files
  - 2. Census Bureau pre-tabulated estimates (from full sample)
  - 3. Other pre-tabulated estimates (from PUMS)

#### Public Use Microdata Sample (PUMS) Files

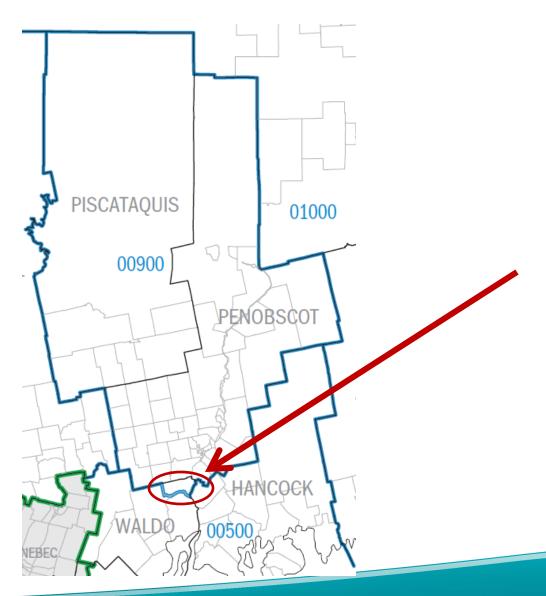
- Subset of full file (1-year file is about 1% of the U.S. population)
- Smallest geography available is the Public Use Microdata Area (PUMA) for 1-, 3- and 5- year files
  - Contains about 100,000 people and nest within states
  - Counties with larger populations are subdivided into multiple PUMAs
  - In more rural areas PUMAs are made up of groups of adjacent counties
  - PUMAs can be made up parts of several different counties

## PUMAs Consistent with County Boundaries: Minnesota



PUMA's Crossing County Boundaries:

Maine



### Accessing PUMS Files

- Download through <u>Census Bureau</u>
  - Available as CSV or SAS files
  - Need statistical software
- Access through <u>DataFerrett</u>
  - A menu-driven system; no statistical software needed
  - Free, but need to register and download tool
- Download through the <u>University of Minnesota's</u> <u>Integrated Public Use Microdata Series</u> (IPUMS)

## http://www.ipums.org/



#### MPC Minnesota Population Center

#### Home of the IPUMS and other data projects

The MPC is one of the world's leading developers of demographic data resources. We provide population data to thousands of researchers, policymakers, teachers, and students. All MPC data are available free over the internet.

#### Integrated Public Use Microdata Series



#### IPUMS-International

Harmonized data for 1960 forward, covering 480 million people in 211 censuses from around the world



#### IPUMS-USA

Harmonized data on people in the U.S. census and American Community Survey, from 1850 to the present



#### IPUMS-CPS

Harmonized data on people in the Current Population Survey, every March from 1962 to the present

#### Other MPC Projects



#### North Atlantic Population Project

Complete-count data from 1800s censuses of Canada, Great Britain, Norway, Sweden, and the U.S.



#### National Historical Geographic Information System

Tabular U.S. census data and GIS boundary files from 1790 to 2010



#### Integrated Health Interview Series

Annual harmonized data on people in the U.S. National Health Interview Survey from the 1960s to the present



#### American Time Use Survey-X

Annual harmonized data from 2003 forward on how U.S. adults divide their time among activities

#### **IPUMS**

- Take the PUMS files from the Census Bureau and harmonize variable names, coding schemes, and documentation
  - Great for studying changes over time

- Create a consistent set of constructed variables on family interrelationships
  - Easier analysis of family structures and characteristics

### IPUMS (2)

- Easy to use and free
- Download only what you need
  - SPSS, SAS, STATA
- Contains SHADACs added policy relevant variables
  - Health Insurance Unit (HIU)
  - Federal Poverty Guidelines (FPG)

## IPUMS Analyze Data Online

SDA Frequencies/Crosstabulation Program Help: <u>General</u> / <u>Recoding Variables</u>		
REQUIRED Variable names to specify  Row:  OPTIONAL Variable names to specify  Column:  Control:  Selection Filter(s):  Weight:  perwt-Person weight	Example: age(18-50)	
TABLE OPTIONS  Percentaging:	Type of chart: (No Chart) ▼ Bar chart options: Orientation: ● Vertical ● Horizontal Visual Effects: ● 2-D ● 3-D  Show percents: ■ Yes Palette: ● Color ● Grayscale Size - width: 600 ▼ height: 400 ▼	https://usa .ipums.org /usa/sda
Title:  Run the Table Clear Fields		

## Pre-tabulated Estimates from Census Bureau's American FactFinder (AFF)

Health Insurance Coverage: 2011

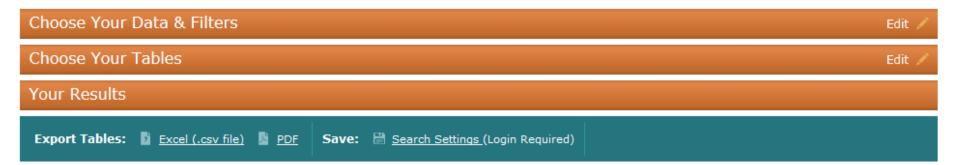
	Scott County, Minnesota									
Subject	Estimate	Margin of Error	Percent	Percent Margin of Error						
HEALTH INSURANCE COVERAGE										
Civilian noninstitutionalized population	131,248	+/-426	131,248	(X)						
With health insurance coverage	123,189	+/-1,680	93.9%	+/-1.3						
With private health insurance	111,655	+/-3,095	85.1%	+/-2.4						
With public coverage	21,225	+/-1,993	16.2%	+/-1.5						
No health insurance coverage	8,059	+/-1,705	6.1%	+/-1.3						
Civilian noninstitutionalized population under 18 years	39,360	+/-35	39,360	(X)						
No health insurance coverage	1,681	+/-832	4.3%	+/-2.1						

Source: 2011 American Community Survey downloaded from AFF at http://factfinder2.census.gov

## Geography Available through AFF

	1-year	3-year	5-year
Published Geography	Population > 65,000	Population > 20,000	All areas
Nation, States, & DC	All	All	All
Congressional Districts	All	All	All
Counties	About 25%	About 59%	All
School Districts	About 7%	About 24%	All
Metro & Micro Statistical Areas	About 54%	About 97%	All
Zip Codes	None	None	All
Census Tracts	None	None	All

#### SHADAC's Data Center



Health Insurance Coverage Estimates, ACS, 0-18 Years, All Poverty Levels, Maryland: Calendar Year 2011

	Population	Unins	ured		Insure	d		Private Coverage					Public Coverage												
		Total			Total			Total			Emplo	yer		Individ	lual		Total			Medica	aid		Medica	are	
		Count	%	SE	Count	%	SE	Count	%	SE	Count	%	SE	Count	%	SE	Count	%	SE	Count	%	SE	Count	%	SE
Poverty Level (	detailed)																								
0-138% FPG	338	32	9.3	1.34	307	90.7	1.34	75	22.1	1.52	63	18.6	1.44	16	4.8	0.67	247	73.0	1.72	245	72.5	1.73	6	1.6	0.52
139-200% FPG	146	10	6.6	1.10	136	93.4	1.10	68	46.3	2.90	61	41.7	2.98	8	5.5	0.94	75	51.7	2.86	75	51.6	2.86	0	0.2	0.14
201-400% FPG	356	16	4.6	0.62	339	95.4	0.62	269	75.7	1.40	244	68.7	1.49	34	9.4	0.82	80	22.5	1.35	79	22.3	1.35	1	0.3	0.14
401%+ FPG	567	10	1.7	0.32	557	98.3	0.32	546	96.4	0.42	514	90.8	0.65	51	9.0	0.65	17	3.0	0.34	16	2.8	0.33	1	0.1	0.06
Total	1,406	67	4.8	0.41	1,339	95.2	0.41	958	68.1	0.81	883	62.8	0.82	109	7.7	0.39	419	29.8	0.78	416	29.6	0.78	8	0.5	0.13
Filtered Total	1,428	68	4.8	0.40	1,360	95.2	0.40	974	68.2	0.80	896	62.8	0.81	112	7.8	0.38	425	29.8	0.77	422	29.5	0.77	8	0.5	0.13

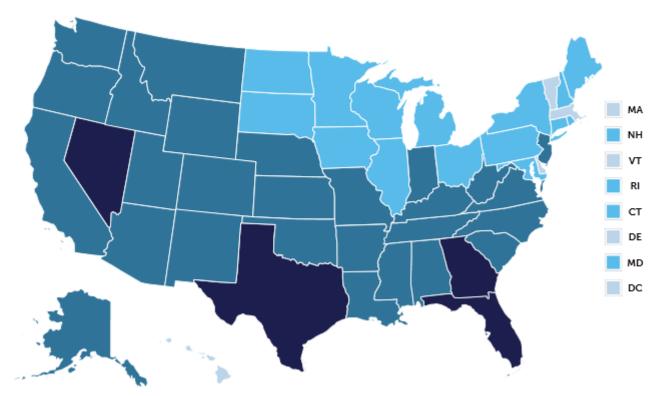
## Robert Wood Johnson Foundation (RWJF) Data Hub

#### Percent Uninsured, 2011

By Income Relative to Federal Poverty Guidelines: 0-138% FPG (Percent)



\*Hover a State for details.





http://www.rwjf.org/en/resear ch-publications/researchfeatures/rwjf-datahub.html

### Choosing an Access Point

Example Analytic Need	PUMS	IPUMS Microdata	AFF
Define children 0 to 18	✓	✓	
Lowest SE possible			✓
Detailed family relationship		✓	
County, Census tract, zip code			✓
"Universe" e.g. limiting to the non-institutionalized population	✓	✓	
Health Insurance Unit (HIU)		✓	
Customized income range (e.g. FPG vs FPL)	✓	<b>✓</b>	
No access to statistical software			✓

#### **ACS AND HEALTH REFORM**

#### **Example Questions**

- How will the impacts of the ACA differ by state?
- Will a states' approach to implementation impact the effect of the ACA on coverage?
- How many uninsured are in a state and where do they live? What is their demographic profile?
- How many kids in each county are eligible for CHIP or Medicaid but not enrolled?
- How many people in my state will be eligible for Medicaid under ACA?
- How will the impacts of the ACA differ by race, age, etc.?

#### Possible Additional Question in 2014

- The Census Bureau is proposing the addition of an exchange or premium subsidy question in 2014
- This question would follow the current health insurance coverage question



#### SHADAC ACS Resources

- "Understanding 1-, 3-, and 5-year ACS"
  - http://www.shadac.org/publications/understanding-1-3-and-5-year-acsestimates-summary-tabulations-and-public-use-files
- "Defining Family for Studies of Health Insurance Coverage"
  - http://www.shadac.org/publications/defining-family-studies-healthinsurance-coverage
- "Monitoring the Impacts of Health Reform at the State Level: Using Federal Survey Data"
  - http://www.shadac.org/publications/monitoring-impacts-health-reformstate-level-using-federal-survey-data
- "Number of Children with Health Coverage Increases During Economic Downturn"
  - http://www.shadac.org/publications/keeping-kids-covered-numberchildren-health-coverage-increases-during-economic-downturn

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